

# Hecke module structure of quaternions

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## Abstract

The arithmetic of quaternion algebras is discussed in order to define a free abelian group provided with the action of a ring of Hecke operators. The definition is purely number theoretic, in terms of the ideal theory of an order in a quaternion algebra. From the theory of classical modular curves and Shimura curves, however, the construction yields invariants of arithmetic geometric objects. The necessary background for the construction and for this connection is presented, with a view towards demonstrating an intrinsic interest in the study of quaternions as well as the effectiveness of this approach in the study of modular curves and Shimura curves in particular.

## 1 Introduction

This article is primarily concerned with the arithmetic of quaternion algebras and the number theoretic constructions which one can derive from them. The arithmetic of quaternion algebras underlies a number of important areas of modern mathematics, from number theory and algebraic geometry, to graph theory. An important motivation for the present article, in particular, is a geometric interpretation and interplay with modern arithmetic geometry. Thus in this article we present the arithmetic of quaternion algebras in some detail, describe the formal algebraic construction of what we refer to as Hecke modules, discuss the geometric relation with classical modular curves and Shimura curves, and conclude with some calculations. In order to motivate what follows we begin here with an overview of the relation between the algebraic and geometric facets of this study.

The Hecke module construction has both algebraic and geometric analogues, defined alternatively as divisor groups of ideal classes or as divisor groups of supersingular points. On the algebraic side, we consider a quaternion algebra  $H$ , and the right ideal theory of an order in  $H$ . As in the realm of commutative number theory, we have a theory of ideal classes and finiteness results for the numbers of classes. On the geometric side it is well-known that for a prime  $p$  dividing the level, the singular points of the reduction mod  $p$  of an integral model for a classical modular curve or for a Shimura curve, are supersingular. The divisor subgroup generated by the supersingular points, and the Hecke operators which operate on them, contain much of the arithmetic information of the curve itself.

Unifying the algebraic and geometric views is an equivalence between the underlying objects. In the theory of classical complex multiplication, one has an equivalence between the

lattices with additional endomorphisms in the complex plane and CM elliptic curves over  $\mathbb{C}$ . A similar, purely algebraic, correspondence holds between the supersingular elliptic curves and the one-sided ideal theory over the endomorphism ring of a fixed curve. This correspondence, formally an equivalence of two categories, descends to a bijection of the finite number of isomorphism classes.

In the case of Shimura curves, the objects parametrized are not elliptic curves, but abelian surfaces whose endomorphism rings admit a fixed embedding of an order in an indefinite quaternion algebra. If  $p$  divides the level, then the supersingular points of reduction mod  $p$  correspond, by definition, to those surfaces which split into a product of supersingular elliptic curves. By results of Buzzard [2], the supersingular points are the singular points of reduction. Hence the monodromy group at  $p$  of the Néron model of the Jacobian, measuring winding numbers about these points, can be identified with the supersingular divisor group. As in the case of supersingular elliptic curves, the supersingular abelian surfaces parametrized by the Shimura curve can be functorially represented by the ideal theory in a quaternion algebra. Thus the supersingular divisor group can be formally replaced with a free abelian group on a basis of right ideals classes for an order in a quaternion algebra.

This article is organized as follows. Section 2 contains the heart of the quaternion algebra machinery so several explicit examples and detailed proofs are provided. While largely elementary, the motivation for some of the proofs can be seen through the correspondence with supersingular elliptic curves discussed in Section 3. In this section we present the Hecke module construction via supersingular elliptic curves and via quaternion arithmetic. In Section 4 we recall the construction of Shimura curves as well as relevant theorems which establish the connection with the quaternion algebras. Since the primary focus is on quaternions, the main theorems here are summarized, with references provided for details elsewhere.

Section 5 is devoted to the presentation of some calculations, for which this construction is ideally suited. The advantage here is that one can work entirely in the number theoretic realm of quaternions. The example presented is of small level, but developed in detail to give the flavor of the rich structure. In contrast to the current state of understanding of working models for Shimura curves and their Jacobians (see Elkies [6]), the arithmetic of quaternion algebras is explicit and well suited for computation. In retrospect one can interpret results in terms of arithmetic geometry and elucidate some deep theorems in this field.

Quaternion arithmetic has been developed and exploited by Eichler [5], Pizer [11], and others to study spaces of classical modular forms. In the classical case, however, most modern computations exploit the Fourier expansions of modular forms about a cusp, and can bypass the deeper structure of quaternions. For Shimura curves, lacking cusps, this is not possible and the furthest progress in writing down Shimura curves as moduli spaces parametrizing geometric objects, by Hashimoto and Murabayashi [8], was obtained by heavily exploiting the arithmetic of quaternions. Computational orientation aside, perhaps the most powerful argument for delving into the arithmetic of quaternions and the interplay with Shimura curves, is found in the work of Ribet [13], a key step in the recent proof of Fermat's last theorem. By demonstrating its unreasonable effectiveness in computing arithmetic invariants of Shimura curves, we hope to lend additional motivation to the study of quaternion arithmetic.

## 2 Quaternion algebras

A finite dimensional algebra  $H$  over a field  $K$  is *simple* if it has no proper, nontrivial left or right ideals. If the center of  $H$  is  $K$ , then  $H$  is said to be *central* over  $K$ . With these prior definitions, we make the following definition of a quaternion algebra.

**Definition 2.1** A quaternion algebra  $H$  over  $K$  is a central simple algebra of dimension four over  $K$ .

Since  $K$  is the center of  $H$ , it is clear that  $H$  must be a noncommutative ring. Throughout this work, the field  $K$  will be  $\mathbb{Q}$  or one of its completions  $\mathbb{Q}_p$  or  $\mathbb{R}$ .

Quaternion algebras are the simplest of noncommutative rings, and in this realm, are the analogues of quadratic field extensions. As in the case of number fields, the principle questions of arithmetic interest regard the orders in a quaternion algebra and the left and right ideal theory of such orders.

**Example 2.2** The following three algebras are examples of quaternion algebras over  $\mathbb{Q}$ .

1. The matrix algebra  $M_2(\mathbb{Q})$  is the *split* quaternion algebra over  $\mathbb{Q}$ .
2. The  $\mathbb{Q}$ -algebra defined by generators  $i$  and  $j$  with relations

$$\begin{aligned}i^2 &= j^2 = -1, \\ij + ji &= 0.\end{aligned}$$

3. The  $\mathbb{Q}$ -algebra defined by generators  $x$  and  $y$  with relations

$$\begin{aligned}x^2 + 2 &= y^2 + y + 5 = 0, \\xy + yx + x + 1 &= 0.\end{aligned}$$

### 2.1 Trace, norm, and involutions

Let  $H$  be a quaternion algebra over  $K$ . Every  $x$  in  $H$  is contained in a quadratic extension of  $K$ . Conversely every maximal commutative extension of  $K$  in  $H$  is quadratic. It follows that every  $x$  in  $H$  satisfies an equation

$$x^2 - \text{Tr}(x)x + \text{Nr}(x) = 0,$$

where  $\text{Tr}(x)$  and  $\text{Nr}(x)$  are elements of  $K$  which we call the *reduced trace* and *reduced norm* respectively. The *conjugate* of  $x$  is defined to be the element

$$\bar{x} = \text{Tr}(x) - x,$$

and  $x \mapsto \bar{x}$  is an involution of  $H$ .

Now suppose that  $K = \mathbb{Q}$ . We define an *order* in  $H$  to be a subring which is a  $\mathbb{Z}$ -module of rank four. Let  $R$  be any such order, let  $\{x_1, x_2, x_3, x_4\}$  be a basis, and set

$$\langle x, y \rangle = \text{Nr}(x + y) - \text{Nr}(x) - \text{Nr}(y).$$

Then the determinant of the matrix  $(\langle x_i, x_j \rangle)$  is the square of an integer. We define the *discriminant* of  $R$  to be the positive integer  $\text{disc}(R)$  such that

$$\det(\langle x_i, x_j \rangle) = \text{disc}(R)^2.$$

The discriminant of  $H$  is defined to be the discriminant of a maximal order in  $H$ , which is well-defined by Theorem 2.6 below.

## 2.2 Completions, ramification, and splitting

At each place  $v$  of  $\mathbb{Q}$ , we define

$$H_v = H \otimes_{\mathbb{Q}} \mathbb{Q}_v \cong \begin{cases} \mathbb{M}_2(\mathbb{Q}_v) & \text{or} \\ \mathcal{D}_v & \end{cases}$$

where  $\mathcal{D}_v$  is the unique central division algebra of dimension 4 over  $\mathbb{Q}_v$ . In the former case  $v$  is said to *split* in  $H$  and in the latter  $H$  is said to *ramify*. Quaternion algebras can be classified in terms of their ramification by means of the Brauer group.

The Brauer group  $\text{Br}(K)$  of a field  $K$  is an abelian group defined on a set of classes  $[A]$  of central simple  $K$ -algebras. The equivalence relation is defined such that  $[A] = [B]$  if and only if

$$A \otimes_K \mathbb{M}_r(K) \cong B \otimes_K \mathbb{M}_s(K),$$

for positive integers  $r$  and  $s$ . The group operation in  $\text{Br}(K)$  is the tensor product, and for any central division algebra, the *opposite algebra* lies in the inverse class of the Brauer group.

By the Wedderburn theorem every central simple  $K$ -algebra is isomorphic to an algebra of the form  $\mathbb{M}_n(\mathcal{D})$  for a unique central division algebra  $\mathcal{D}/K$  and positive integer  $n$ . It follows that the classes in  $\text{Br}(K)$  are in bijection with the isomorphism classes of central division algebras. From the description of the inverse operation, it follows that the 2-torsion subgroup  $\text{Br}(K)[2]$  is in bijection with the isomorphism classes of central division algebras with involution.

**Theorem 2.3** *The Hasse invariant defines canonical isomorphisms*

$$(i) \text{inv}_l : \text{Br}(\mathbb{Q}_l) \longrightarrow \mathbb{Q}/\mathbb{Z} \quad (ii) \text{inv}_\infty : \text{Br}(\mathbb{R}) \longrightarrow \frac{1}{2}\mathbb{Z}/\mathbb{Z}$$

for all finite primes  $l$  and the infinite place, respectively, such that the sequence

$$0 \longrightarrow \text{Br}(\mathbb{Q}) \longrightarrow \bigoplus_v \text{Br}(\mathbb{Q}_v) \longrightarrow \mathbb{Q}/\mathbb{Z} \longrightarrow 0,$$

defined such that  $[H_v] \mapsto \text{inv}_v(H_v)$ , is exact.

In fact the 2-torsion group  $\text{Br}(\mathbb{Q})[2]$  has representatives among the quaternion algebras over  $\mathbb{Q}$ , giving the following classification theorem (see Vignéras [17] II.3 Theorem 3.1).

**Theorem 2.4** *Every quaternion algebra over  $\mathbb{Q}$  ramifies at an even number of places. Conversely for every finite set consisting of an even number of places of  $\mathbb{Q}$ , there exists a unique quaternion algebra  $H/\mathbb{Q}$  ramifying at exactly this set.*

**Example 2.5** As noted, the quaternion algebras lie in the 2-torsion subgroup of the Brauer group. From Theorem 2.3 and 2.4 we note that in particular that there exists a unique quaternion division algebra over  $\mathbb{R}$ . This algebra is the classical *Hamilton* quaternions

$$\mathbb{H} = \mathbb{R} + \mathbb{R}i + \mathbb{R}j + \mathbb{R}k,$$

where  $i^2 = j^2 = -1$  and  $k = ij = -ji$ .

If a quaternion algebra ramifies at infinity, we say that it is *positive definite*, otherwise we say it is *indefinite*. It follows that a quaternion algebra is positive definite if and only if it embeds in the Hamilton quaternion algebra.

The following theorem, together with the definition of the discriminant, serves to determine the primes ramifying in a quaternion algebra  $H$ .

**Theorem 2.6** *Let  $H$  be a quaternion algebra over  $\mathbb{Q}$ . The discriminant of an order  $R$  in  $H$  is  $m \text{disc}(\mathcal{O})$ , where  $\mathcal{O}$  is any maximal order containing  $R$  and  $m$  is the index  $[\mathcal{O} : R]$ . The discriminant of  $\mathcal{O}$  is equal to the product of the finite primes ramifying in  $H$ .*

**Proof.** This is the content of Vignéras [17], Chapitre I, Lemme 4.7 and Chapitre III, Corollaire 5.3 and the discussion following.  $\square$

**Example 2.7** In Example 2.2 we see that by definition the *split* quaternion algebra (1) ramifies nowhere. The discriminant of the order  $R = \mathbb{Z}\langle i, j \rangle$  of the quaternion algebra (2) is 4, so has index two in a maximal order in the algebra ramified at 2 and  $\infty$ . The order generated by  $x$  and  $y$  in the algebra (3) is maximal. Indeed for the basis  $\{x_1, x_2, x_3, x_4\} = \{1, x, y, xy\}$  we have

$$(\langle x_i, x_j \rangle) = \begin{bmatrix} 2 & 0 & -1 & -1 \\ 0 & 4 & 1 & -2 \\ -1 & 1 & 10 & 0 \\ -1 & -2 & 0 & 20 \end{bmatrix},$$

which has determinant  $37^2$ . Therefore  $R$  is maximal and the algebra ramifies at 37 and  $\infty$ .

### 2.3 Orders and ideals

An order in a finite dimensional algebra  $H/\mathbb{Q}$  is a subring containing a basis for  $H$  and which is finitely generated as a  $\mathbb{Z}$ -module. In number fields there exists a unique maximal order. For noncommutative algebras this uniqueness property fails. In the split quaternion algebra  $\mathbb{M}_2(\mathbb{Q})$  for example, the order  $\mathbb{M}_2(\mathbb{Z})$  is maximal, but the same is true for  $x^{-1}\mathbb{M}_2(\mathbb{Z})x$  for all  $x$  in  $\mathrm{GL}_2(\mathbb{Q})$ .

Let  $H$  be definite, and let  $R$  be a maximal order in  $H$ . Define  $\widehat{\mathbb{Q}}$  to be the finite adèle ring of  $\mathbb{Q}$  and  $\widehat{\mathbb{Z}}$  to be the subring of integral adèles, then set

$$\widehat{H} = H \otimes_{\mathbb{Q}} \widehat{\mathbb{Q}}, \quad \widehat{R} = R \otimes_{\mathbb{Z}} \widehat{\mathbb{Z}}.$$

As with number fields, we have a local-global principle for ideals. Under the inverse maps

$$\begin{array}{ccc} I & \longrightarrow & I\widehat{R} \\ H \cap \widehat{x}\widehat{R} & \longleftarrow & \widehat{x}\widehat{R} \end{array}$$

every nonzero right ideal  $I$  corresponds to a subset  $I\widehat{R}$  of  $\widehat{H}$  of the form  $\widehat{x}\widehat{R}$  for an element  $\widehat{x}$  of  $\widehat{H}^*$ .

Every fractional right ideal of  $R$  is a locally free, rank one module over  $R$ , and conversely every such module embeds in  $H$ . Thus the isomorphism classes of locally free, right modules of rank one over  $R$  are in bijection with the set

$$H^* \backslash \widehat{H}^* / \widehat{R}^*.$$

Since  $H^* \backslash \widehat{H}^*$  is compact in the topology on the idele group  $\widehat{H}^*$ , and  $\widehat{R}^*$  is open, the set of isomorphism classes is finite.

**Definition 2.8** Let  $I$  be a right ideal for  $R$ . The *left order* of  $I$  is defined to be the subring  $S = \{x \in H \mid xI \subseteq I\}$  of  $H$ .

It is clear that  $S$  is an order of  $H$ . Locally it is conjugate to  $R$  at all finite primes, so in fact  $S$  is also maximal.

**Proposition 2.9** *Every maximal order of  $H$  appears up to isomorphism as the left order of a right ideal for  $R$ .*

**Proof.** Let  $S$  be another maximal order in  $H$ , and set

$$I = \{x \in H \mid Sx \subseteq R\}.$$

Then  $I$  is a right ideal for  $R$  and a left ideal for  $S$ .  $\square$

**Corollary 2.10** *The number of maximal orders of  $H$ , up to isomorphism, is bounded above by the number of right ideals of  $R$ , up to isomorphism.*

**Definition 2.11** The *norm* of a right  $R$ -ideal  $I$ , denoted  $N(I)$ , is the positive integer generating the ideal  $\{\text{Nr}(x) \mid x \in I\}\mathbb{Z}$ .

**Lemma 2.12** Let  $R$  and  $S$  be maximal orders in  $H$ . Then the ideals  $I = \{x \in H \mid Sx \subseteq R\}$  and  $J = \{x \in H \mid Rx \subseteq S\}$  satisfy  $N(I) = N(J) = m$  and  $JI = mR$  and  $IJ = mS$ .

**Proof.** From the definition of  $I$  it is clear that  $I$  is a right ideal for  $R$  and a left ideal for  $S$ , and that moreover  $I \subseteq R$ . Set  $\bar{I} = \{\bar{x} \mid x \in I\}$ . Locally at each prime  $l$  we have  $I_l = S_l x_l = y_l R_l$  for  $x_l$  and  $y_l$  in  $H_l^*$ . Then

$$I_l \bar{I}_l = S_l x_l \bar{x}_l S_l = \text{Nr}(x_l) S_l \quad \text{and} \quad \bar{I}_l I_l = R_l \bar{y}_l y_l R_l = \text{Nr}(y_l) R_l.$$

But the norm of an ideal is locally defined, so we have  $\text{Nr}(x_l)\mathbb{Z}_l = \text{Nr}(y_l)\mathbb{Z}_l = m\mathbb{Z}_l$ . Thus globally we have  $I\bar{I} = mS$  and  $\bar{I}I = mR$ .

It remains only to show that  $J = \bar{I}$ . Combining the equalities  $I\bar{I} = mS$  and  $\bar{I}I = mR$  with the inclusion  $I \subseteq R$ , we obtain  $mR \subseteq \bar{I}$ . Continuing similarly, we have  $mI \subseteq mS$ , so  $I \subseteq S$ . But then  $\bar{I} \subseteq \bar{S} = S$ . Moreover  $\bar{I}$  is a left  $R$ -ideal, so  $R\bar{I} = \bar{I} \subseteq S$ , and by the definition of  $J$  we have  $\bar{I} \subseteq J$ . By symmetry we conclude that  $\bar{J} \subseteq I$ , hence  $J \subseteq \bar{I}$ , so the desired equality  $J = \bar{I}$  holds.  $\square$

**Proposition 2.13** The number of right ideal classes for a maximal order of  $H$  is independent of the maximal order and is the same for left ideals.

**Proof.** For two maximal orders  $R$  and  $S$ , set  $I = \{x \in H \mid Sx \subseteq R\}$  and  $J = \{x \in H \mid Rx \subseteq S\}$  as above, and let  $I_1, \dots, I_h$  be a collection of representatives for the distinct right ideal classes of  $R$ . Then  $I_1 J, \dots, I_h J$  is a collection of distinct right ideals for  $S$ . Since  $JI = mR$  and  $IJ = mS$ , for  $m = N(I)$ , the maps  $I_i \mapsto I_i J$  and  $J_i \mapsto J_i I$  compose to give  $I_i \mapsto mI_i \cong I_i$ . Thus the ideals  $I$  and  $J$  determine bijections of the ideal classes for  $R$  and  $S$ . The second statement follows by taking conjugates.  $\square$

Let  $I$  a right ideal for a maximal order  $R$ , with left order  $S$ , then  $R \cap S$  is the left order of the pair  $(R, I)$  of right  $R$ -modules. This motivates the following definition.

**Definition 2.14** An *Eichler order*  $R$  in  $H$  is defined to be the intersection of two maximal orders in  $H$ . The level  $m$  of  $R$  is the index of  $R$  in any maximal order containing it.

**Example 2.15** Returning again to the quaternion algebras of Example 2.2, we have the following examples of maximal orders and ideals.

1. Let  $H = \mathbb{M}_2(\mathbb{Z})$  be the split quaternion algebra, and set  $R = \mathbb{M}_2(\mathbb{Z})$ . Then every right ideal is principle, and  $R$  is conjugate to every maximal order in  $H$ .

2. Let  $H = \mathbb{Q} + \mathbb{Q}i + \mathbb{Q}j + \mathbb{Q}ij$ , where  $i^2 = j^2 = -1$  and  $ij + ji = 0$ , and set  $R = \mathbb{Z}\langle i, j, \omega \rangle$ , where

$$\omega = \frac{1 + i + j + ij}{2}.$$

Then  $R$  is a right principle ideal ring, and up to isomorphism, the unique maximal order in  $H$ .

It is also known that the order  $\mathbb{Z}\langle i, j \rangle$  is a principle ideal ring, and up to isomorphism, the unique order of level 2 in  $H$ . It is not, however, an Eichler order since locally there is a unique maximal order at a ramifying prime, so the intersection of two maximal orders is always maximal at the ramifying primes.

3. Let  $R = \mathbb{Z}\langle x, y \rangle$  where

$$\begin{aligned} x^2 + 2 &= 0 \\ y^2 + y + 5 &= 0 \\ xy + yx + x + 1 &= 0 \end{aligned}$$

in the previously defined quaternion algebra ramified at 37 and  $\infty$ . Then there are three ideal classes, with representatives:

$$I_1 = R, \quad I_2 = (2, 1 - x + y)R, \quad I_3 = (2, x - y)R.$$

Set  $z = 1 - x + y$ . We note that  $\bar{z} = x - y$ , and the subring  $\mathbb{Z}[z]$  is a maximal order of discriminant  $-23$  in a quadratic imaginary extension of  $\mathbb{Q}$  of class number 3. The ideals  $I_1$ ,  $I_2$ , and  $I_3$  are generated by the ideal representatives  $(1)$ ,  $(2, z)$ , and  $(2, \bar{z})$  of this subring.

### 3 Hecke modules

Let  $\tilde{\mathbb{T}}$  be the infinite dimensional *Hecke algebra*  $\mathbb{Z}[\dots, T_n, \dots]$  generated by commuting indeterminates  $T_n$ , indexed by the positive integers. We define a *Hecke module* to be a free, finite dimensional  $\mathbb{Z}$ -module, together with a representation of  $\tilde{\mathbb{T}}$  on  $M$ , such that the action of  $\tilde{\mathbb{T}}$  maps through a quotient  $\mathbb{T}$  of finite rank over  $\mathbb{Z}$ .

We set  $\tilde{\mathbb{T}}_{(d)}$  equal to the subalgebra of  $\tilde{\mathbb{T}}$  generated by  $T_n$  for all  $n$  relatively prime to  $d$ . We say that a homomorphism  $M_1 \rightarrow M_2$  is compatible with Hecke operators  $T_n$  relatively prime to  $d$  if it is a homomorphism of  $\tilde{\mathbb{T}}_{(d)}$ -modules.

In this section we describe two constructions of Hecke modules. The first construction is interpreted in terms of supersingular points on classical modular curves, and the second is a generalization in terms of quaternion algebras. While the first construction has a natural interpretation in terms of submodules of Hecke modules of classical modular forms, the Hecke modules given by the general construction admit maps to the spaces of modular forms, but lack canonical maps. This sets the stage for the interpretation of the general construction, in the following section, in terms of the Shimura curves.

### 3.1 Hecke modules on supersingular points

Let  $k$  be an algebraic closure of a finite field of characteristic  $p$ , let  $E/k$  be a supersingular elliptic curve over  $k$ , and let  $C$  be a cyclic subgroup of order  $m$ . We denote by  $\mathbf{E}$  the pair  $(E, C)$ , which we will call an enhanced elliptic curve. Then the endomorphism ring  $\text{End}_k(\mathbf{E})$  of the pair is an Eichler order of level  $m$  in the quaternion algebra ramified at  $p$  and  $\infty$ .

We define  $\mathcal{S}$  to be a set of representatives of the isomorphism classes of enhanced elliptic curves of level  $m$  over  $k$ . Then an element  $\mathbf{E}$  of  $\mathcal{S}$  determines a point on  $X_0(mp)/k$ , in fact a double point of the reduction to  $k$ , so we can form the divisor group

$$M = \bigoplus_{\mathbf{E} \in \mathcal{S}} \mathbb{Z} \cdot [\mathbf{E}] \subseteq \text{Div}_k(X_0(mp)),$$

and let  $X$  be the subgroup of degree zero divisors in  $M$ . The Hecke operators act on  $M$  and  $X$  by:

$$T_n([\mathbf{E}]) = \sum_{\varphi} [\mathbf{F}] = \sum_{\mathbf{F} \in \mathcal{S}} a_n(\mathbf{E}, \mathbf{F}) [\mathbf{F}],$$

for all  $(n, mp) = 1$ , where the first sum is over the cyclic isogenies  $\varphi : \mathbf{E} \rightarrow \mathbf{F}$  of degree  $n$ , up to isomorphism of the image curve  $\mathbf{F}$ .

For two enhanced elliptic curves  $\mathbf{E}$  and  $\mathbf{F}$  let  $\text{Isom}(\mathbf{E}, \mathbf{F})$  be the set of isomorphisms from  $\mathbf{E}$  to  $\mathbf{F}$ . We define an inner product on  $M$  by

$$\langle [\mathbf{E}], [\mathbf{F}] \rangle = \frac{1}{2} |\text{Isom}(\mathbf{E}, \mathbf{F})|,$$

extending  $\langle \cdot, \cdot \rangle$  bilinearly to  $M \times M$ . The Hecke operators  $T_n$  are Hermitian with respect to the inner product:

$$\langle [\mathbf{E}], T_n([\mathbf{F}]) \rangle = \langle T_n([\mathbf{E}]), [\mathbf{F}] \rangle.$$

The orthogonal complement to  $X$  in  $M$  is the rank one space generated over  $\mathbb{Q}$  by the element

$$\mathcal{E}is = \sum_{\mathbf{E} \in \mathcal{S}} \langle [\mathbf{E}], [\mathbf{E}] \rangle^{-1} [\mathbf{E}]$$

of  $M \otimes_{\mathbb{Z}} \mathbb{Q}$ , which we call the *Eisenstein* subspace of  $M$ .

### 3.2 Hecke modules on quaternion ideals

Let  $\mathcal{E}ll$  be the category of enhanced supersingular elliptic curves over  $k$ , let  $\mathbf{E}$  be a fixed object, and set  $R = \text{End}_k(\mathbf{E})$ . Then  $R$  is an Eichler order in the definite quaternion algebra  $H = R \otimes_{\mathbb{Z}} \mathbb{Q}$  ramified at  $p$  and  $\infty$ .

Define  $\mathcal{M}od_R$  to be the category of right locally free rank one modules over  $R$ . Then  $\text{Hom}_k(\mathbf{E}, -) : \mathcal{E}ll \rightarrow \mathcal{M}od_R$  is a functor and determines an equivalence of categories. The previous construction of Hecke modules was functorially defined hence carries over in terms of objects and maps in  $\mathcal{M}od_R$ .

We thus consider the following more general construction. For a general positive definite quaternion algebra  $H$  of discriminant  $D$  and an Eichler order  $R$  of level  $m$ , define  $\mathcal{S}$  to be a set of representatives for the isomorphism classes of locally free, rank one right modules over  $R$ . Define the formal divisor group  $M$  to be the free abelian group

$$M = \bigoplus_{I \in \mathcal{S}} \mathbb{Z} \cdot [I],$$

on the basis  $\mathcal{S}$ . As before, we set  $X$  equal to the subgroup of formal degree zero elements. We say that a nonzero homomorphism  $\varphi : I \rightarrow J$  is *cyclic* of degree  $n$  if

$$J/\varphi(I) \cong \mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}.$$

We note that with this definition  $J/\varphi(I)$  is a principle  $R$ -module. Based on the analysis of the torsion structure of supersingular elliptic curves by Lenstra [10], when  $R$  is the endomorphism ring of an enhanced supersingular elliptic curve  $\mathbf{E}$ , this agrees, under the equivalence of categories with  $\text{Mod}_R$ , with the definition of a cyclic isogeny in  $\mathcal{E}ll$ .

The Hecke operators  $T_n$  are defined as before as the operators

$$T_n([I]) = \sum_{\varphi} [J] = \sum_{J \in \mathcal{S}} a_n(I, J) [J],$$

for  $(n, Dm) = 1$  where the first sum is over cyclic  $R$ -module homomorphisms  $\varphi : I \rightarrow J$  of degree  $n$ , up to isomorphism of  $J$ . In practice,  $I$  and  $J$  can be embedded in  $H$  as fractional right  $R$ -ideals such that the homomorphism  $\varphi$  is an inclusion, which gives

$$I \xrightarrow{\varphi} J \hookrightarrow n^{-1}I.$$

and we can equivalently sum over the inclusions of cyclic submodules  $J \rightarrow n^{-1}I$ .

As in the supersingular elliptic curve construction, we define an inner product on  $M$  by

$$\langle [I], [J] \rangle = \frac{1}{2} |\text{Isom}(I, J)|$$

extending  $\langle \cdot, \cdot \rangle$  bilinearly to  $M \times M$ . One verifies as before that the Hecke operators  $T_n$  satisfy:

$$\langle [I], T_n([J]) \rangle = \langle T_n([I]), [J] \rangle,$$

and the orthogonal complement to  $X$  in  $M$  is the rank one subgroup  $E$  generated by a constant multiple of the element

$$\mathcal{E}is = \sum_{I \in \mathcal{S}} \langle [I], [I] \rangle^{-1} [I]$$

of  $M \otimes_{\mathbb{Z}} \mathbb{Q}$ , defining the Eisenstein subspace of  $M$ .

### 3.3 Connection with classical modular forms

As suggested by the above language, the Hecke modules so constructed relate to the spaces of modular forms. This relation may be exploited for the study and construction of modular curves, but in general fails to give any canonical isomorphism. Instead the connection takes the form of a Hecke-bilinear pairing with image in the space of modular forms:

$$\Theta : M \times M \longrightarrow M_2(\Gamma_0(Dm), \mathbb{Z}),$$

and which preserves orthogonality in the sense that  $\Theta(u, v) = 0$  if  $\langle u, v \rangle = 0$ . In defining the pairing  $\Theta$ , one first defines operators

$$A_n = \sum_{kr^2=n} T_k$$

such that  $A_{ns} = A_n A_s$  for relatively prime  $n$  and  $s$ , and otherwise  $A_{nr^2} = A_r A_{rn} - r A_n$  for primes  $r$  not dividing  $Dm$ . Then  $\Theta$  is defined by

$$\Theta([I], [J]) = 1 + 2 \sum_{n=1}^{\infty} \langle A_n([I]), [J] \rangle q^n,$$

extended bilinearly to  $M \times M$ . This pairing takes  $M \times X$  and  $X \times M$  to the space of cusp forms and takes  $E \times M$  and  $M \times E$  into the space of Eisenstein series.

**Remark 3.1** For each pair of elements  $I$  and  $J$  in the basis  $\mathcal{S}$ , the coefficients of  $\Theta([I], [J])$  are obtained as the representation numbers of a quaternary quadratic form over  $\mathbb{Z}$ , which is determined as the norm form of the rank four  $\mathbb{Z}$ -module of homomorphisms  $I \longrightarrow J$ . In terms of the basis  $\mathcal{S}$ , the matrices of the operators  $A_n$  are the classical Brandt matrices,  $B_n$ , and the matrices  $(\Theta([I], [J])_n)$  of  $n$ -th coefficients are equal to  $2W B_n$ , where  $W = (\langle [I], [J] \rangle)$  is the diagonal Gram matrix of the inner product on  $M$ . Since the representation numbers are well-defined, we obtain operators  $A_n$  for all  $n$ , and in particular define the Hecke operators  $T_p = A_p$  for  $p$  dividing  $Dm$ . The theory of classical Brandt matrices is developed by Eichler [5]. For further computational aspects of this theory consult Pizer [11] and Kohel [9].

## 4 Shimura curves

Shimura curves provide a generalization of modular curves on which the previous construction has a natural interpretation. For an Eichler order  $R$  in an indefinite quaternion algebra, there is an associated Shimura curve which is the moduli space of abelian surfaces with a prescribed embedding of  $R$  in its endomorphism ring. For the present purpose we need only the construction and certain arithmetic constructions on the Jacobian varieties of these curves.

## 4.1 Construction of Shimura curves

Let  $B/Q$  be an indefinite quaternion algebra over  $\mathbb{Q}$  of discriminant  $D$ , and let  $R$  be an Eichler order of level  $m$  in  $B$ . We fix once and for all an isomorphism

$$B \otimes \mathbb{R} \cong \mathbb{M}_2(\mathbb{R}).$$

Under this isomorphism there exists a well-defined left action of  $B^* \cong \mathrm{GL}(\mathbb{R})$  on the upper half plane  $\mathcal{H}$ . We set

$$\Gamma_0^D(m) = \{x \in R^* \mid \mathrm{Nr}(x) = 1\},$$

and define the Shimura curve to be a model for the quotient

$$X_0^D(m) = \overline{\Gamma_0^D(m) \backslash \mathcal{H}},$$

where the bar indicates the compactification. When  $D = 1$ , then  $\Gamma_0^1(m) = \Gamma_0(m)$ , so this generalizes the standard definition of the modular curve  $X_0(m)$ . When  $D$  is greater than one, then the quotient  $\Gamma_0^D(m) \backslash \mathcal{H}$  is already compact.

## 4.2 Semistable reduction and monodromy groups

For the construction of analogous Hecke modules on the Jacobians of Shimura curves, we recall some general constructions of Grothendieck [7] on abelian varieties.

Let  $A/\mathbb{Q}$  be an abelian variety with semistable reduction at a prime  $p$ , and let  $k$  be an algebraic closure of  $\mathbb{F}_p$ . We define a finite subgroup  $\Phi = \Phi(A, p)$  as the component group of the fiber at  $p$  of the Néron model for  $A$ , and let  $T = T(A, p)$  be the toric part of the same fiber. We define the *monodromy* group of  $A$  at  $p$  to be

$$\mathcal{X}(A, p) = \mathrm{Hom}_k(T, \mathbb{G}_m).$$

We note that  $T/k$  is isomorphic to a finite product of copies of  $\mathbb{G}_m$ , and thus  $\mathcal{X}(A, p)$  is a free abelian group.

Let  $A^\vee$  be the dual abelian variety of  $A$ , and suppose that there exists a canonical principal polarization  $\xi : A \rightarrow A^\vee$ . Such is the case, for instance, if  $A$  is the Jacobian of a curve. There exists a canonical bilinear pairing,

$$u : \mathcal{X}(A, p) \times \mathcal{X}(A^\vee, p) \rightarrow \mathbb{Z},$$

such that  $\langle x, y \rangle = u(x, \xi(y))$  defines a symmetric positive definite pairing on  $\mathcal{X}(A, p)$ . By the following result, Theorem 11.5 of Grothendieck [7], the monodromy pairing permits the determination of the component group  $\Phi(A, p)$  of an abelian variety  $A$ .

**Theorem 4.1** *There exists a natural exact sequence*

$$0 \rightarrow \mathcal{X}(A, p) \rightarrow \mathrm{Hom}(\mathcal{X}(A, p), \mathbb{Z}) \rightarrow \Phi \rightarrow 0,$$

taking  $x \in \mathcal{X}(A, p)$  to  $u(-, \xi(x))$ .

### 4.3 Hecke modules on Shimura curves

We now apply the previous constructions to the Jacobians of Shimura curves. As for classical modular curves, the Jacobian of a Shimura curve  $X_0^D(m)$  is naturally equipped with Hecke operators  $T_n$  for all  $n$  relatively prime to the level  $N = Dm$ . Following the exposition of Takahashi [15], we summarize here results of Ribet [13], by which we can interpret the previous constructions of Hecke modules.

**Theorem 4.2** *Let  $D$  be a product of an even number of primes, and let  $p$  and  $q$  be distinct primes coprime to  $D$ . Then there exists a canonical exact sequence*

$$0 \longrightarrow \mathcal{X}(A', p) \xrightarrow{\iota} \mathcal{X}(A, q) \longrightarrow \mathcal{X}(A'', q) \times \mathcal{X}(A'', q) \longrightarrow 0$$

where

$$A' = J_0^{Dpq}(m), \quad A = J_0^D(mpq), \quad A'' = J_0^D(mq).$$

*The homomorphisms are compatible with the Hecke operators  $T_n$  for all  $n$  relatively prime to  $Dpqm$ . With respect to the monodromy pairings on  $\mathcal{X}(A', p)$  and  $\mathcal{X}(A, q)$ , the map  $\iota$  is an isometry with its image.*

**Proof.** This exact sequence was proved by Ribet for  $D = 1$  in [13], and the general case holds following the work of Buzzard [2].  $\square$

By means of the following theorem we may interpret the construction of Hecke modules of quaternions in terms of the monodromy groups of the Jacobians of Shimura curves.

**Theorem 4.3** *Let  $H$  be a positive definite quaternion algebra of discriminant  $Dp$ , and let  $X(Dp, m)$  be the Hecke module for an Eichler order  $R$  of level  $m$ . Then there exists a canonical isomorphism*

$$X(Dp, m) \cong \mathcal{X}(J_0^D(mp), p).$$

*The isomorphism is compatible with Hecke operators  $T_n$  for all  $n$  relatively prime to  $Dpm$ , and is an isometry with respect to the respective inner products. In particular,  $\mathcal{X}(J_0^{Dqr}(mp), p)$ ,  $\mathcal{X}(J_0^{Dpr}(mq), q)$ , and  $\mathcal{X}(J_0^{Dpq}(mr), r)$  are canonically isomorphic for distinct primes  $p, q$ , and  $r$  relatively prime to  $D$ .*

**Proof.** This is a consequence of Theorem 4.7 and Theorem 4.10 of Buzzard [2], which prove the results analogous to Deligne and Rapoport [4]. The present formulation appears in Takahashi [15].  $\square$

## 5 Examples and computations

As an example we consider the Hecke modules constructed for Eichler orders of level  $m$  in definite quaternion algebras of discriminant  $Dp$ , where  $N = Dpm$  divides 30. We denote by  $X(Dp, m)$  the Hecke module of this discriminant and level.

Under the isomorphism of Theorem 4.3, we identify  $X(Dp, m)$  with  $\mathcal{X}(J_0^D(mp), p)$ . Then from Theorem 4.2 we obtain the following six exact sequences:

$$\begin{aligned}
(1) \quad & 0 \longrightarrow \mathcal{X}(J_0^{15}(2), 3) \longrightarrow X(5, 6) \longrightarrow X(5, 2) \times X(5, 2) \longrightarrow 0 \\
(2) \quad & 0 \longrightarrow \mathcal{X}(J_0^{15}(2), 5) \longrightarrow X(3, 10) \longrightarrow X(3, 2) \times X(3, 2) \longrightarrow 0 \\
(3) \quad & 0 \longrightarrow \mathcal{X}(J_0^6(5), 2) \longrightarrow X(3, 10) \longrightarrow X(3, 5) \times X(3, 5) \longrightarrow 0 \\
(4) \quad & 0 \longrightarrow \mathcal{X}(J_0^6(5), 3) \longrightarrow X(2, 15) \longrightarrow X(2, 5) \times X(2, 5) \longrightarrow 0 \\
(5) \quad & 0 \longrightarrow \mathcal{X}(J_0^{10}(3), 2) \longrightarrow X(5, 6) \longrightarrow X(5, 3) \times X(5, 3) \longrightarrow 0 \\
(6) \quad & 0 \longrightarrow \mathcal{X}(J_0^{10}(3), 5) \longrightarrow X(2, 15) \longrightarrow X(2, 3) \times X(2, 3) \longrightarrow 0
\end{aligned}$$

The Hecke modules  $\mathcal{X}(J_0^{Dpq}(m), q)$  can then be identified as the kernel of the corresponding projections, once the Hecke modules  $X(Dp, mq)$  and  $X(Dp, m)$  are determined.

By means of the quaternion algebra arithmetic described in Section 2 and the Hecke module construction in Section 3, it is possible to compute the Hecke modules  $X(Dp, m)$ . Implementing this arithmetic in the computer package Magma [1], permitted the author to determine bases for the modules  $X(Dp, m)$ , together with the representations of the Hecke algebras on these modules.

The curves  $X_0(2)$ ,  $X_0(3)$ , and  $X_0(5)$  have genus zero, so the corresponding Hecke modules  $X(2, 1)$ ,  $X(3, 1)$ , and  $X(5, 1)$  are zero. Likewise the curves  $X_0(6)$  and  $X_0(10)$  have genus zero, so  $X(2, 3)$ ,  $X(3, 2)$ ,  $X(2, 5)$ , and  $X(5, 2)$  are zero. The remaining modules:

$$X(3, 5), X(5, 3), X(2, 15), X(3, 10), X(5, 6), \text{ and } X(30, 1)$$

are nontrivial and as  $\tilde{\mathbb{T}}$ -modules split over  $\mathbb{Q}$  into one dimensional factors. The following table summarizes the arithmetic data.

**Invariants of  $X(Dp, m)$**

$X(Dp, m)$	rank	class	$\langle v, v \rangle$	$a_2$	$a_3$	$a_5$	$a_7$
$X(3, 5)$	1	15A	4	-1	-1	1	0
$X(5, 3)$	1	15A	4	-1	-1	1	0
$X(2, 15)$	1	30A	2	-1	1	-1	-4
$X(3, 10)$	3	30A	6	-1	1	-1	-4
		15A	4	1	-1	1	0
		15A	2	-3	-1	1	0
$X(5, 6)$	3	30A	2	-1	1	-1	-4
		15A	4	1	-1	1	0
		15A	2	-3	-1	1	0
$X(30, 1)$	1	30A	6	-1	1	-1	-4

The column denoted class refers to the isogeny class of corresponding isogeny factor in Cremona [3]; the column  $\langle v, v \rangle$  gives the self inner product of a generator  $v$  of the rank one

eigenspace over  $\mathbb{Z}$ , and  $a_n$  is the eigenvalue of the Hecke operator  $T_n$ . We also note that the eigenspace generators need not constitute a basis of  $X(Dp, m)$ . In the case of  $X(3, 10)$  and  $X(5, 6)$  they generate a submodule of index two.

From the previous exact sequences it is possible to identify kernel submodules of these Hecke modules. The modules  $\mathcal{X}(J_0^{15}(2), 3)$  and  $\mathcal{X}(J_0^{15}(2), 5)$  are isomorphic to  $X(5, 6)$  and  $X(3, 10)$ , respectively. The module  $\mathcal{X}(J_0^6(5), 2)$  can be identified as the rank one kernel of  $T_2 + 1$  in  $X(3, 10)$ , and both  $\mathcal{X}(J_0^6(5), 3)$  and  $\mathcal{X}(J_0^{10}(3), 5)$  are canonically isomorphic to  $X(2, 15)$ . The module  $\mathcal{X}(J_0^{10}(3), 2)$  can be identified with the rank one kernel of  $T_2 + 1$  in  $X(5, 6)$ . While the Hecke module  $X(30, 1)$  does not enter into the exact sequences, by Theorem 4.3 it is canonically isomorphic to each of

$$\mathcal{X}(J_0^{15}(2), 2), \mathcal{X}(J_0^{10}(3), 3), \text{ and } \mathcal{X}(J_0^6(5), 5),$$

by which we can identify again the common isogeny factor  $30A$  of  $J_0^{15}(2)$ ,  $J_0^{10}(3)$ , and  $J_0^6(5)$ .

It is also possible to analyze the kernel of the maps of  $\mathcal{X}(J_0^D(mp), q)$  to  $\mathcal{X}(J_0^D(m), q)$  induced by the quotients  $J_0^D(mq) \twoheadrightarrow J_0^D(m)$  when  $q$  divides  $D$ . First, to have a concise representation of the above exact sequences, we express a short exact sequence of the form  $0 \rightarrow M' \rightarrow M \rightarrow M'' \times M'' \rightarrow 0$  in the nonstandard manner

$$0 \longrightarrow M' \longrightarrow M \begin{array}{c} \rightrightarrows \\ \lrcorner \end{array} M'' \longrightarrow 0,$$

where the double arrows are the projections to the factors. Note that in this notation  $M'$  is the intersection of the kernels of the two maps, and the condition of surjectivity is stronger than surjectivity on each of the components  $M''$ . By the naturality of these exact sequences with respect to the two projections of  $J_0^D(mp)$  to  $J_0^D(m)$ , we observe that there exist exact sequences of sequences of the form:

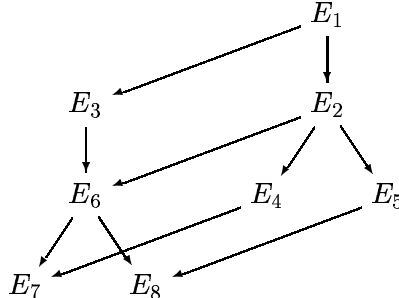
$$\begin{array}{ccccccc} & & 0 & & 0 & & 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & W & \longrightarrow & \mathcal{X}(J_0^{10}(3), 2) & \begin{array}{c} \rightrightarrows \\ \lrcorner \end{array} & \mathcal{X}(J_0^{10}(1), 2) \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathcal{X}(J_0^{15}(2), 3) & \longrightarrow & X(5, 6) & \begin{array}{c} \rightrightarrows \\ \lrcorner \end{array} & X(5, 2) \longrightarrow 0 \\ & & \downarrow\downarrow & & \downarrow\downarrow & & \downarrow\downarrow \\ 0 & \longrightarrow & \mathcal{X}(J_0^{15}(1), 3) & \longrightarrow & X(5, 3) & \begin{array}{c} \rightrightarrows \\ \lrcorner \end{array} & X(5, 1) \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ & & 0 & & 0 & & 0 \end{array}$$

in which  $W$  is isomorphic to  $\mathcal{X}(J_0^{10}(3), 2)$  and identified in  $\mathcal{X}(J_0^{15}(2), 3) \cong X(5, 6)$  as the kernel of the projection to  $X(5, 3) \times X(5, 3)$ , corresponding again to the isogeny factor  $30A$ .

## 6 Further considerations

The above analysis gives a breakdown of the isogeny factors of the Jacobians of Shimura and classical modular curves, but ignores more subtle details omitted by a characterization only

up to isogeny. For instance, the isogeny class of elliptic curves of conductor 30 consists of one isogeny class of eight curves, denoted  $30A1 - 8$  in the notation of Cremona [3], and which we denote by  $E_1$  through  $E_8$ . The curves in this isogeny class are connected by isogenies over  $\mathbb{Q}$  of degree 2 and 3 as indicated in the diagram below.



One defines an optimal quotient of an abelian variety to be a quotient with connected kernel, which is unique in its isogeny class, up to isomorphism. For instance, the optimal quotient of  $J_0(30)$  is the curve  $E_1$ . Takahashi [15] reports the elliptic curves  $J_0^6(5)$  and  $J_0^{10}(3)$  to be  $E_6$  and  $E_2$ , respectively, and the optimal quotient of  $J_0^{15}(2)$  in the isogeny class  $30A$  is  $E_3$ .

When an optimal quotient of  $J_0^D(m)$  is an elliptic curve  $E$ , the Shimura curve  $X_0^D(m)$  itself admits a map to  $E$ . A more subtle arithmetic analysis permits one to compute the degree of this cover. For classical modular curves the methods are well-developed, and Cremona [3] has compiled extensive computations. For a study of coverings by Shimura curves, consult Ribet and Takahashi [14] and Takahashi [15].

It should be possible to translate many of the arithmetic questions of study for Shimura curves into the language of number theory of quaternions. The role and significance of the component group and Theorem 4.1 was ignored here, as was a study of congruence primes, as in Ribet [12], except to note that the subgroups generated by the eigenvectors in  $X(3, 10)$  and  $X(5, 6)$  each have index two. The correct arithmetic analogue of the degree of a parametrization of a modular elliptic curve, and its determination should yield to the methods of Takahashi [15] in this setting. Finally, it should be feasible to translate into this language the content of Theorem 4.2 and Theorem 4.3. In the context of quaternion arithmetic, it may be possible to prove results or at least to give examples, for instance concerning nonsquare-free level, which elude proof for general Shimura curves.

**Acknowledgement.** I express thanks for the insight provided by the thesis of Shuzo Takahashi, and for conversations with Ken Ribet on this subject. Diagrams and exact sequences were prepared using Paul Taylor’s diagrams package [16] in  $\LaTeX$ .

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